

Basel, 07.06.2018

B 2100A (Form 2100A) (12/15)

United States Bankruptcy Court  
Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case Nos. 08-13555 (JMP)  
(Jointly Administered)

FILED / RECEIVED

JUN 13 2018

EPIQ

**NOTICE OF PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY, PURSUANT TO FED. R. BANKR. P. 3001(e)(2)**

A CLAIM HAS BEEN FILED IN THIS CASE, by Bank Hapoalim (Switzerland) Ltd., Zurich, Switzerland (the “Transferor”), against Lehman Brothers Holdings Inc., which has been designated as Claim No. 55855 (the “Claim”). Transferee (as that term is defined below) hereby gives evidence and notice, pursuant to Fed. R. Bankr. P. Rule 3001(e)(2), of the transfer, other than for security, of a ratable portion of the Claim, in the nominal amount of USD 80,000 (the “Transferred Portion”). For the avoidance of doubt, the Transferred Portion relates to ISIN XS0327725528 only. Transferor is retaining the remaining portion of the Claim. A copy of the Evidence of Transfer of Claim (the “Evidence of Transfer”) is attached hereto as Exhibit “A” and is incorporated by reference.

Name of Transferee

Bank J. Safra Sarasin Ltd

Name and Address where notices to Transferee should be sent:

Bär & Karrer AG  
Brandschenkestrasse 90  
CH-8027 Zurich  
Switzerland  
Attn: Peter Hsu  
Tel.: +41 58 261 50 00

- with a copy to -

Bank J. Safra Sarasin Ltd  
Elisabethenstrasse 62,  
P.O. Box  
CH-4002 Basel  
Switzerland  
Attn: Frank Link, Senior Legal Counsel, Legal  
Supervision & Corporate  
Tel.: +41 (0) 58 317 45 83  
Patrick Gribi, Head Legal  
Tel.: +41 (0) 58 317 40 67

Name of Transferor

Bank Hapoalim (Switzerland) Ltd..

Name and Address where notices to Transferor should be sent:

Bank Hapoalim (Switzerland) Ltd.  
Stockerstrasse 33  
CH-8027 Zurich  
Attn: Stefan Stotzer  
Tel.: +41 44 283 82 46

Last Four Digits of Acct #: 4002

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Bank J. Safra Sarasin Ltd

Elisabethenstrasse 62,

P.O. Box

CH-4002 Basel

Switzerland

Attn: Frank Link, Senior Legal Counsel, Legal

Supervision & Corporate

Tel.: +41 (0) 58 317 45 83

Patrick Gribi, Head Legal

Tel.: +41 (0) 58 317 40 67

Court Claim # (if known): 55855

Date Claim Filed: October 29, 2009

Transferred Portion: USD 80,000  
(nominal amount)

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By: f.l.

Transferee

Name: Frank Link

Title: Executive Director

Date: 07.06.2018

By: P.G.

Transferee

Name: Patrick Gribi

Title: Managing Director

Date: 08.06.2018

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years,  
or both. 18 U.S.C §§ 152 & 3571.

**Exhibit A**

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank Hapoalim (Switzerland) Ltd., Zurich, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **Bank J. Safra Sarasin Ltd., Basel** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 55855) in the **nominal amount of USD 80'000.00** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED THIS 9 day of January 2018.

Bank Hapoalim (Switzerland) Ltd.  
Stockerstrasse 33  
CH-8027 Zurich  
Switzerland

  
Stefan Stotzer  
Deputy Manager

  
Igor Dahinden  
Assistant Manager

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN / Description	Court Claim #	Date Claim Filed	Issuer	Nominal Amount
XS0327725528 USD CALLABLE RANGE ACCRUAL NOTE	55855	29 October 2009	Lehman Brothers Treasury Co. BV	USD 80'000
Blocking number	6052942			



J. SAFRA SARASIN



Sustainable Swiss Private Banking since 1841

**By courier**

Epiq Bankruptcy Solutions, LLC  
Attn: Lehman Brothers Holdings Claims  
Processing  
757 Third Avenue, 3<sup>rd</sup> Floor  
New York, NY 10017  
USA

Basel, 01 June 2018  
Your contact: Frank Link, T: +41 58 317 45 83

**Transfers of Claims other than for Security, Epiq Claims No. 55855**

Dear Madam, dear Sir,

Bank J. Safra Sarasin Ltd is sending you herewith the enclosed 4 original copies of the completed 210A/2100AB transfer forms, including copies of the evidence of transfer of claim regarding the transfer of claims (referring to partials of claim no. 55855) against Lehman Brothers Holdings Inc. by the following transferor:

Bank Hapoalim (Switzerland) Ltd, Stockerstrasse 33, CH-8027 Zurich, Switzerland

Yours sincerely  
Bank J. Safra Sarasin AG

A handwritten signature in black ink, appearing to read 'P.G.'

Patrick Gribi  
Managing Director

A handwritten signature in blue ink, appearing to read 'FL'.

Frank Link  
Executive Director

Enclosures

Page 1 of 1

ORIGIN: BSLA 058317430  
SPEIDON: JUN 13 2018  
BANK J. SAFRA SARASVATI LTD.  
ELISABETHENSTRASSE 6  
BASEL, 4051  
SWITZERLAND/CH  
EPIQ

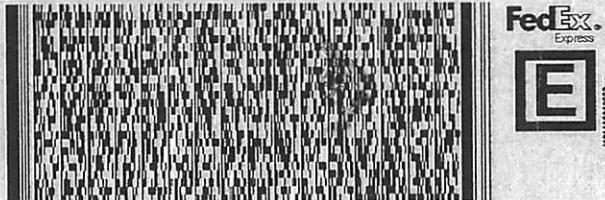
SHIP DATE: 11JUN18  
ACTWTG: 0.50 KG  
CAD: 111332073/NET3980

BILL SENDER

TO ATTN: LEHMAN BROTHERS HOLDINGS  
EPIQ BANKRUPTCY SOLUTIONS, LLC  
CLAIMS PROCESSING  
757 THIRD AVENUE, 3RD FLOOR  
NEW YORK NY 10017

(000) 000-0000 REF  
INV. NO.  
PO. DEPT.

(US)



TRK# 7724 4005 5525 FILED / RECEIVED 10:30A  
0430 JUN 13 2018 INT'L PRIORITY  
XA OGSA ETD 10017  
EPIQ NY-US EWR

FedEx. AA  
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